

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/823,499

Suite 201

Shemwell Gregory & Courtney LLP

4880 Stevens Creek Boulevard

San Jose, CA 95129

04/12/2004

Kevin P. Grundy

SIPI.P118

**CONFIRMATION NO. 3272** 

FORMALITIES LETTER 

\*OC000000013072101\*

Date Mailed: 06/25/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$63 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$513 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$63

07/27/2004 RMEDRAHT 00000026 10823499

01 FC:2001 02 FC:2051

385.00 OP 65.00 GP

03 FC:2202

■ \$63 for 7 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

1 Senerell

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket No.: SIPI.P118

**PATENT** 

## IN THE UNITED STATES PATENT OFFICE

### In Re Patent Application of:

First Named Inventor: Grundy, Kevin P.

Application No.: 10/823,499

Filed: 4/12/2004

Title: MEMORY SYSTEM HAVING A MULTIPLEXED

**HIGH-SPEED CHANNEL** 

**Mail Stop Missing Parts** 

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Examiner: Not Yet Assigned

Art Unit: 2824

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on July 23, 2004

Charles E. Shemwell

(Name of Person Mailing Correspondence)

Signature

'Date'

# RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir,

In response to a Notice to File Missing Parts mailed <u>June 25, 2004</u> in connection with the above-referenced application, applicant has enclosed the following:

- (1) a Declaration pursuant to 37 CFR 1.63;
- (2) a fee transmittal letter in duplicate;
- (3) a check in the amount of \$513.00 to cover the \$448.00 application filing fee and the 65.00 fee under 37 CFR 1.16(e); and
  - (4) a copy of the Notice to File Missing Parts.

Please charge Deposit Account No. <u>501914</u> for any fee deficiency that may be due. A duplicate of this Response is enclosed for Deposit Account charging purposes.

Respectfully submitted,

SHEMWELL GREGORY & COURTNEY LLP

Date <u>July 23, 2004</u>

Charles E. Shemwell, Reg. No. 40,171

Tel. 408-236-6645

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

Name (Print/Type)

Signature

Charles E. Shemwell

(\$)	513	3.00
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Complete if Known			
Application Number	10/823,499		
Filing Date	April 12, 2004		
First Named Inventor	Grundy, Kevin P.		
Examiner Name			
Art Unit	2824		
Attorney Docket No.	SIPI.P118		

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
Check Credit card Money Other None		3. ADDITIONAL FEES				
Deposit Account:		Entity	Smal	Entity	•	
Deposit Account 501914	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	. 1051	130	2051	65	Surcharge - late filing fee or oath	65
Deposit Account Name	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	igsquare
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1.005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee 385	1401	330	2401		Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385		110	2452		Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)	
Total Claims 27 -20** = 7 x 9 = 63	1502	480	2502	240	Design issue fee	
Independent 2 2 22 0 1/06	1503	640	2503		Plant issue fee	
Claims S - 3** = U X 60 =	1460	130	1460	130	Petitions to the Commissioner	
Large Entities 2 and Entitle	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)   Code (\$)   1202 18   2202 9 Claims in excess of 20	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	205	` ' '	
1204 86 2204 43 ** Reissue independent claims					For each additional invention to be examined (37 CFR 1.129(b))	
over original patent	1801	770	2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 63		fee (sp	• • • • • • • • • • • • • • • • • • • •			
**or number previously paid, if greater; For Reissues, see above			Basic F	iling Fe	subtotal (3) (\$) 65	
SUBMITTED BY (Complete (if applicable))						

WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

40,171

Telephone 408-236-6640

July 23, 2004

Date

PTO/SB/17 (10-03)

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FEE	TRA	NS	MIT	TAL
	for F	<b>Y</b> 2	004	

Effective 10/01/2003. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 513.00

Name (Print/Type)

Signature

Charles E. Shemwell

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Deposit Account Name	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet			
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification			
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Claims 5 - 3 - 5 U X [00] =	1460 130 1460 130 Petitions to the Commissioner			
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Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)	l l		
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1204 86 2204 43 ** Reissue independent claims	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))			
over original patent	1801 770 2801 385 Request for Continued Examination (RCE)	1.		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 63	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65			
SUBMITTED BY (Complete (if applicable))				

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